

STATE OF OHIO
DEPARTMENT OF COMMERCE
DIVISION OF SECURITIES
COLUMBUS 43215-6131

Order No. 07-440

IN THE MATTER OF: ROBERT EWING, IV
CRD NUMBER 1755595

TERMINATION OF ORDER NO. 07-128

DIVISION ORDER

WHEREAS, the Ohio Division of Securities (hereinafter "the Division") is charged with the responsibility of protecting investors and finds that this order is necessary or appropriate in the public interest or for the protection of investors, and is consistent with the purposes of the Ohio Securities Act, Chapter 1707 of the Ohio Revised Code; and

WHEREAS, on May 17, 2007, the Division issued Order No. 07-128, a Notice of Intent to Revoke the Ohio Securities Salesperson License and Notice of Opportunity for Hearing to Robert Ewing, IV (hereinafter "Respondent"), whose principal business address was at 1809 Glenmar, Floor GR, Monroe, Louisiana, 71201; and

WHEREAS, the Respondent requested an administrative hearing in a letter received by the Division on June 18, 2007; and

WHEREAS, the administrative hearing was set for June 28, 2007, and continued upon the Division's own motion pursuant to Ohio Revised Code Section 119.09 until July 18, 2007; and

WHEREAS, Respondent requested a continuance for the administrative hearing set for July 18, 2007, which was granted by the hearing officer, who set a new administrative hearing date of September 26, 2007; and

WHEREAS, Respondent requested a continuance for the administrative hearing on September 26, 2007, which was granted by the hearing officer; and

WHEREAS, the Division and Respondent have reviewed and discussed the evidence relevant to the allegations set forth in Division Order No. 07-128; and

WHEREAS, pursuant to these discussions and review, the Division and Respondent have entered into a Consent Agreement that was fully executed on November 15, 2007, and the Division has reassessed the necessity of issuing Division Order No. 07-128.

THEREFORE, IT IS ORDERED THAT the Division hereby TERMINATES Division Order No. 07-128, dated May 17, 2007, without prejudice.

WITNESS MY HAND AND THE OFFICIAL SEAL OF THIS DIVISION at
Columbus, Ohio this 28th day of December, 2007.

*Brian J. Misencik, Deputy Director of Commerce
Acting Commissioner of Securities*

STATE OF OHIO
DEPARTMENT OF COMMERCE
DIVISION OF SECURITIES
COLUMBUS, OHIO 43215-6131

IN THE MATTER OF: **ROBERT EWING, IV**
 CRD NUMBER 1755595

CONSENT AGREEMENT

Based upon discussions between representatives of the Ohio Division of Securities (the "Division") and Robert Ewing, IV (the "Respondent"), the Division and Respondent stipulate and agree to the following:

- (1) Respondent acknowledges lawful service and receipt of a Suspension of Ohio Securities Salesperson License, Notice of Intent to Revoke Ohio Securities Salesperson License, and Notice of Opportunity for Hearing, Division Order No. 07-128, and stipulates to the jurisdiction of the Division.
- (2) Respondent, after being fully and adequately apprised of the rights set forth in Division Order No. 07-128, voluntarily waives the rights to the adjudicative hearing which has been afforded to Respondent in accordance with Ohio Revised Code Chapter 119.
- (3) Respondent, after discussions with the Division, consents to serving the suspension in effect from May 17, 2007. The suspension of Respondent's Ohio securities salesperson's license shall hereby end as of the date this Consent Agreement is fully executed.
- (4) The Division shall issue an order terminating Division Order No. 07-128, dated May 17, 2007, without prejudice.
- (5) Respondent withdraws his request for hearing, received by the Division on June 18, 2007, in the matter of Division Order No. 07-128, dated May 17, 2007.
- (6) After being fully and adequately apprised of his right to appeal Division orders in the matter of Robert Ewing, IV, as set forth in section 119.12 of the Ohio Revised Code, Respondent knowingly and voluntarily waives his right.
- (7) The undersigned has read this Consent Agreement, understands all of its terms, and has executed this Consent Agreement voluntarily.

SO AGREED:

Robert Ewing, IV
2509 Spencer Avenue
Monroe, Louisiana 71201

Date

Brian J. Misencik
Deputy Director of Commerce
Acting Commissioner of Securities,
Ohio Division of Securities
77 South High Street, 22nd Floor
Columbus, Ohio 43215

Date